# **NATIONAL CONTACT POINT REPORTING QUESTIONNAIRE (2017)**

This questionnaire is designed to help National Contact Points fulfil their reporting obligations as described in the Procedural Guidance of the OECD Guidelines for Multinational Enterprises. The answers to the questions should reflect the nature and results of the activities of the NCP<sup>1</sup>.

#### **STRUCTURE**

This questionnaire comprises 60 questions and 2 annexes under the following headings:

- A. Contact information
- B. Institutional arrangements
- C. Information and promotion
- D. Specific instances
- E. Peer learning
- F. Policy coherence
- G. Impact and future work

Annex 1: NCP PROMOTIONAL ACTIVITIES

Annex 2: SPECIFIC INSTANCES

#### **TYPES OF QUESTIONS**

## 1. Yes/No questions

Most questions are Yes/No questions. For each of these questions, please select "Yes" or "No" from the dropdown menu. If a question is not relevant to your NCP, please select N/A (not applicable).

#### Example:

(b) Promotional activities	
23. Did the NCP organise or co-organise events to promote the Guidelines and/or the NCP during the year?  If yes, please provide details in table 1 in Annex 1.	Yes/No

## 2. Open-ended questions

a) Under certain Yes/No questions, there are open-ended questions to request more detail. For each open-ended question, an answer box is provided. Please keep responses precise and short.

# Example:

(a) Location of the NCP

1. Is the NCP located in a Ministry?

If yes, in which Ministry is the NCP located?

Click here to enter text

Click here to enter text

Page 74 of the Procedural Guidance of the OECD Guidelines for Multinational Enterprises: "Reports should contain information on the nature and results of the activities of the NCP, including implementation activities in specific instances".

b) "Additional comments" boxes are situated at the end of each section. Please feel free to enter any additional information you would like to share in these boxes.

Examp	le:
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Additional comments on Location of the NCP:

Click here to enter text

#### **TERMS USED IN THE QUESTIONNAIRE**

A number of terms are used throughout the questionnaire. Definitions are provided below. Please note that these definitions are exclusively intended to assist in clarifying the terms used in this questionnaire.

- Advisory body: Some NCPs have an advisory body which can be consulted by the NCP on a range of activities and issues on which it provides advice. Advisory bodies can include representatives from trade unions, NGOs, business and/or academia, along with representatives of other government ministries or agencies. They do not normally form part of the NCP and do not have decision-making power on accepting or concluding specific instances.
- Dedicated budget: A budget that is allocated to the NCP on a regular basis (e.g. every year) to carry
  out its functions and activities.
- Independent NCP: An independent NCP is one whose members do not belong to a government administration, although generally an independent NCP will be supported by a secretariat based in a government administration.
- Oversight body: Some NCPs have an oversight body, which has a monitoring role, for example on whether the NCP has followed its own rules of procedures. They can include representatives from trade unions, NGOs, business and/or academia, along with representatives of other government ministries or agencies. They do not normally form part of the NCP and do not have decision-making power on accepting or concluding specific instances.
- Stakeholder meeting: A stakeholder meeting is a meeting organised by the NCP to meet with stakeholders, including trade unions, NGOs and the business community that are not part of the structure of the NCP (i.e. they are not members of the NCP, nor present on advisory or oversight bodies).

### **REPORTING PERIOD**

The reporting period for this questionnaire is from 1 January to 31 December 2017.

#### **SUBMISSION**

The questionnaire should be completed by using this word document and sent by email to: <a href="mailto:investment@oecd.org">investment@oecd.org</a> copying <a href="mailto:barbara.bijelic@oecd.org">barbara.bijelic@oecd.org</a> and <a href="mailto:kathryn.dovey@oecd.org">kathryn.dovey@oecd.org</a> by <a href="mailto:15">15 January 2018</a>.

Should you have any questions on how to fill this questionnaire, please contact barbara.bijelic@oecd.org.

# NATIONAL CONTACT POINT REPORTING QUESTIONNAIRE (2017)

# A. CONTACT INFORMATION

Please provide the contact information of the person filling in the questionnaire.

Name: Job title:

Nancy Bojanich
Investment Specialist

Email:

nbojanich@proinversion.gob.pe

Telephone number:

(511) 2001200 ext 1330

B. INSTITUTIONAL ARRANGEMENTS	
(a) Location of the NCP	
1. Is the NCP located in a Ministry?	No
If yes, in which Ministry is the NCP located?	Click here to enter text.
If yes, in which department of the Ministry?	Click here to enter text.
2. Is the NCP located in an investment promotion agency?	Yes
3. Is the NCP an independent NCP?	No
4. Has the NCP been established through a legal, regulatory or administrative instrument (e.g. a decree)?	Yes
If yes, please provide the name and date of this instrument	PROINVERSION'S Steering Council Agreement N° 294-01-2009 dated July 1st 2009
Additional comments on <b>Location of the NCP</b> : The Peru's NCP is located in PROINVERSION, the Peruvian Investment Promotion Agency. The organization structure of PROINVERSIÓN, through its Steering Council, helps the interaction with several government agencies.	
(b) Composition of the NCP	
5. Who are the members of the NCP?  Please describe the functions of the members of the NCP (e.g. President, Secretariat, Independent expert, etc.). No names are required.	The Steering Council of PROINVERSIÓN has the highest level of decision of the NCP. The Executive Director is in charge of the NCP Technical Secretariat through PROINVERSION'S Investors Servicing División- DSI. The Head of the DSI, mainly supervise the activities carried out, considering the authorized budget provided for the NCP activities. The investment specialist is in charge of carrying out the activities in order to disseminate and promote the OECD Guidelines, working in coordination with various public and private sector agencies as well as with civil society organizations. Likewise, this specialist coordinate with several NCPs and participate in the OECD working party on Responsible Business Conduct. The legal specialist is in charge of carrying out activities related to the attention to specific instances related to the implementation of the OECD Guidelines.

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6. Does the NCP have dedicated full-time staff?  "Dedicated full-time staff" means staff working full-time on NCP activities. If yes, please indicate the number.	No	Click here to enter text.
7. Does the NCP have dedicated part-time staff?  "Dedicated part-time staff" means staff dedicating part of their time to NCP activities. If yes, please indicate the number.	Yes	2
8. Have any full-time or part-time staff members joined the NCP during the year?  If yes, please indicate how many.	No	Click here to enter text.
9. Have any full-time or part-time staff members left the NCP during the year?  If yes, please indicate how many.	No	Click here to enter text.
10. Are other Ministries part of the institutional arrangements of the NCP? (e.g. represented on advisory or oversight bodies, participate in specific instances, etc.)	No	
If yes, please list the Ministries (e.g. Social Affairs, Labour, Trade, Environment, Foreign Affairs, Justice, Finance, etc.)	Click here to enter text.	
11. Are social partners and other stakeholders (trade unions, business community, civil society and other non-governmental organisations) part of the institutional arrangements of the NCP?		
(e.g. represented on advisory or oversight bodies, represented as members of the NCP, making NCP decisions on specific instances)		
	No	
members of the NCP, making NCP decisions on specific instances)	No No	
members of the NCP, making NCP decisions on specific instances)  NGOs		
members of the NCP, making NCP decisions on specific instances)  NGOs  Representatives of the business community	No	
members of the NCP, making NCP decisions on specific instances)  NGOs  Representatives of the business community  Trade unions	No No	
members of the NCP, making NCP decisions on specific instances)  NGOs  Representatives of the business community  Trade unions  12. Does the NCP have an advisory body?	No No	
members of the NCP, making NCP decisions on specific instances)  NGOs  Representatives of the business community  Trade unions  12. Does the NCP have an advisory body?  If yes, please describe its functions  If yes, please list the names of organisations that are represented on the advisory body and the type of organisation, or provide a link to the list on the NCP website.  (e.g. government, NGO, trade union, business, business	No No Click here to enter text.	
MGOs Representatives of the business community Trade unions  12. Does the NCP have an advisory body? If yes, please describe its functions  If yes, please list the names of organisations that are represented on the advisory body and the type of organisation, or provide a link to the list on the NCP website.  (e.g. government, NGO, trade union, business, business organisation, academic, consumer organisation, etc.)  If yes, please indicate how often the NCP meets with the advisory	No No Click here to enter text.  Click here to enter text.	
NGOs Representatives of the business community Trade unions  12. Does the NCP have an advisory body? If yes, please describe its functions  If yes, please list the names of organisations that are represented on the advisory body and the type of organisation, or provide a link to the list on the NCP website.  (e.g. government, NGO, trade union, business, business organisation, academic, consumer organisation, etc.)  If yes, please indicate how often the NCP meets with the advisory body	No No Click here to enter text.  Click here to enter text.  Choose an item.	
MGOs Representatives of the business community Trade unions  12. Does the NCP have an advisory body? If yes, please describe its functions  If yes, please list the names of organisations that are represented on the advisory body and the type of organisation, or provide a link to the list on the NCP website. (e.g. government, NGO, trade union, business, business organisation, academic, consumer organisation, etc.)  If yes, please indicate how often the NCP meets with the advisory body  13. Does the NCP have an oversight body?	No No Click here to enter text.  Click here to enter text.  Choose an item.	
NGOs Representatives of the business community Trade unions  12. Does the NCP have an advisory body? If yes, please describe its functions  If yes, please list the names of organisations that are represented on the advisory body and the type of organisation, or provide a link to the list on the NCP website.  (e.g. government, NGO, trade union, business, business organisation, academic, consumer organisation, etc.)  If yes, please indicate how often the NCP meets with the advisory body  13. Does the NCP have an oversight body?  If yes, please list the names of organisations that are represented on the oversight body and the type of organisation, or please provide a link to the list on the NCP website.  (e.g. government, NGO, trade union, business, business	No No Click here to enter text.  Click here to enter text.  Choose an item.  No Click here to enter text.	
NGOs Representatives of the business community Trade unions  12. Does the NCP have an advisory body? If yes, please describe its functions  If yes, please list the names of organisations that are represented on the advisory body and the type of organisation, or provide a link to the list on the NCP website.  (e.g. government, NGO, trade union, business, business organisation, academic, consumer organisation, etc.)  If yes, please indicate how often the NCP meets with the advisory body  13. Does the NCP have an oversight body? If yes, please describe its functions  If yes, please list the names of organisations that are represented on the oversight body and the type of organisation, or please provide a link to the list on the NCP website.  (e.g. government, NGO, trade union, business, business organisation, academic, consumer organisation, etc.)	No No Click here to enter text.  Click here to enter text.  Choose an item.  No Click here to enter text.	

If yes, please list the names of organisations that are represented on the oversight body and the type of organisation, or provide a link to the list on the NCP website.  (e.g. government, NGO, trade union, business, business organisation, academic, consumer organisation, etc.)	Click here to enter text.
If yes, please indicate how often this body meets	Choose an item.
If yes, please indicate how often this body meets  Additional comments on Composition of the NCP: The organization structure of PROINVERSIÓN, through its Steering Council, helps the interaction the NCP with other government agencies in order to promote the OECD Guidelines. Ministers that form part of the Steering Council are: Minister of Economy and Finance, Minister of Energy and Mining, Minister of Transportation and Telecommunication. Moreover, there is a permanent interaction with the Ministry of Labor, the Ministry of Foreign Affairs and other public and private agencies.	Choose an item.
(c) Financial resources	
15. Did the NCP have a dedicated budget this year?	Yes
16. Did the NCP have a dedicated budget to conduct its promotional activities during the year?	Yes
If no, were financial resources for promotional activities allocated on an ad hoc basis when requested by the NCP?	Choose an item.
17. Did the NCP have a dedicated budget to conduct its activities related to specific instances during the year?	Yes
If no, were financial resources for specific instances allocated on an ad hoc basis when requested by the NCP?	Choose an item.
18. During the year, was the NCP able to access funds for the following activities:	
Organising promotional events	Yes
Attending NCP meetings at the OECD	Yes
Attending events organised by other NCPs	Yes
Attending events organised by other stakeholders	Yes
Professional mediator fees or in-house mediator fees	No
Fact-finding research into specific instances	No
Additional comments on Financial resources: Click here to enter text.	
(d) Reporting	

19. Does the NCP report to the government on its activities?	Yes
If yes, how often?	More than three times a year
20. Does the NCP report to parliament on its activities?	No
If yes, how often?	Choose an item.
Additional comments on Reporting: The NCP activities are reported to the Ministry of Economy and Finance, as part of reporting the institutional activities and operative annual Plan.	

C. INFORMATION AND PROMOTION		
(a) NCP website <sup>2</sup>		
21. Was a new NCP website created this year? If yes, please provide the link.	No	Click here to enter text.
22. Are the following items available on the NCP website:		•
Information about the Guidelines and the role of the NCP		
The text of the Guidelines	Yes	
A description of the Guidelines	Yes	
Information on the NCP and its mandate	Yes	
The 2015 NCP Annual Report submitted to the OECD	Yes	
The NCP's own 2015 Annual Report	No	
Information about specific instances		
Instructions on how to submit a specific instance	Yes	
The NCP's rules of procedures	Yes	
All final statements since 2011	Yes	
Information on promotional activities		
Information on upcoming events promoting the Guidelines	Yes	
Information on past events promoting the Guidelines	Yes	
Contact information		
Information on how to make an enquiry to the NCP	Yes	
A phone number to reach the NCP directly	Yes	
An email address to reach the NCP directly	Yes	
Additional comments on <b>NCP website</b> : The web site of PROINVERSION provides information about		
OECD guidelines, NCP activities and regarding the participation of		
Peru in the Investment Committee, both in Spanish and English		

languages:

https://www.investinperu.pe/modulos/JER/PlantillaStandard.aspx? are=0&prf=0&jer=8006&sec=1

# (b) Promotional activities<sup>3</sup>

This includes dedicated NCP webpages as part of the Ministry's website.

Attendance of NCP meetings held at the OECD and other OECD events such as the Global Forum on Responsible Business Conduct are not considered to be promotional activities.

23. Did the NCP organise or co-organise events to promote the Guidelines and/or the NCP during the year?  If yes, please provide details in table 1 in Annex 1.	Yes
24. Did the NCP make a presentation to promote the Guidelines and/or the NCP in events organised by others?  If yes, please provide details in table 2 in Annex 1.	Yes
25. Did the NCP make use of social media to communicate on NCP promotional activities during the year?	Yes
26. Did the NCP hold a stakeholder meeting during the year?	No
27. Did the NCP promote the Guidelines among the business community during the year?	Yes
28. Did the NCP carry out any training on the Guidelines aimed at businesses during the year?	Yes
29. Did the NCP promote the Guidelines among NGOs during the year?	No
30. Did the NCP promote the Guidelines among trade unions during the year?	No
31. Did the NCP promote the Guidelines among government agencies during the year?	Yes
32. Did the NCP promote the Guidelines among embassies abroad during the year?	Yes
33. Did the NCP promote the Guidelines to investment promotion agencies during the year?	No
34. Did the NCP refer to the following sector guidance reports in promotional activities during the year:	
OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas	Yes
OECD Due Diligence Guidance for Meaningful Stakeholder Engagement in the Extractive Sector	Yes
OECD-FAO Guidance for Responsible Agricultural Supply Chains	Yes
Additional comments on the section <b>Promotional activities</b> :	_
As part of Projectorian's investment guidance considers. Doru's	l

As part of Proinversion's investment guidance services, Peru's adherence to the OECD Declaration and OECD Guidelines is being informed to foreign investors willing to explore the possibility of doing business in Peru.

Besides, the NCP has developed and published a brochure titled: Peru strengthening an investment climate that promotes growth and guidelines of the OECD for multinational Enterprises. This brochure has been delivered to participants of several meetings and activities of investment promotion in 2017 year.

# D. SPECIFIC INSTANCES

(a) NCP rules of procedure for handling specific instances

35. Does the NCP have rules of procedure describing the handling	Yes	
of specific instances?  36. Are the rules of procedure available online?  If yes, please provide link. If no, please attach a copy of the rules of procedure to this questionnaire	Yes	Click here to enter text.
37. Were the NCP's rules of procedure modified this year?	No	
Additional comments on the section Rules of procedure: Click here to enter text.		
(b) Specific instance practicalities		
38. Does the NCP confirm receipt of a specific instance submission?	Yes	
39. Who has the final sign-off on accepting a specific instance? Please provide the function of the relevant staff within the NCP. No names are required.	The accepting of specific instance is coordinated by the legal specialist of the NCP and is signed by the head of investors servicing - DSI of PROINVERSION, who also is in charge of supervise the activities carried out, considering the authorized budget provided for the NCP activities.  The draft of the final statement is prepared by the NCP legal specialist, the last version is prepared with the comments of the head of DSI, the investment specialist and the feed back involved parties of te specific instances.  The final statement will be signed by the head of investors servicing of PROINVERSION.	
40. Who has the final sign-off on final statements?  Please provide the function of the relevant staff within the NCP. No names are required.		
41. Does the NCP request feedback from the parties on the procedure following the conclusion of a specific instance?	No	
42. Has the NCP staff undergone training in dispute resolution or problem-solving (e.g. mediation)?	Yes	
43. Did the NCP engage professional mediators during the year?	No	
44. Did the NCP staff or members conduct mediation this year?	No	
Additional comments on the section <b>Specific instance</b> practicalities: Click here to enter text.		
(c) Reporting specific instances		
45. Did the NCP receive new specific instance submissions during the year?  If yes, please also provide details in Annex 2	No	
46. Of the specific instances that were already in progress at the start of the year, are there any that are still ongoing at the end of the calendar year?  If yes, please also provide details in Annex 2	No	

Additional comments on the section **Reporting specific instances**: Click here to enter text.

E. PEER LEARNING	
47. Did the NCP take part in the following activities with other NCPs during the year:	
NCP-hosted peer learning activities	No
Co-operation with other NCPs in handling specific instances	No
Mentoring/capacity building of another NCP	No
48. Is the NCP interested in hosting an NCP learning/experience- sharing event in 2017?	No
49. Which topic would the NCP consider to be a priority to cover in a peer learning event?	Workshops regarding the guidelines in due dillegence in mining and extractive sectors in order to enhance the NCP knowledge with respect to these issues. Click here to enter text
50. Is the NCP interested in participating in developing tools for use by NCPs?	Yes
Additional comments on the section Peer-learning activities: Click here to enter text.	

F. POLICY COHERENCE <sup>4</sup>	
51. Have the Guidelines been referred to in relevant national legislation adopted during the year? (e.g. on responsible business conduct; non-financial reporting, export credits regulation, public procurement)	No
If yes, please provide a link to the legislation.  If a link is not available, please attach a copy of the legislation to this questionnaire.	Click here to enter text.
52. Did the NCP communicate public statements on specific instances to public procurement officials?	No
53. Did the NCP communicate public statements on specific instances to officials responsible for trade missions?	No
54. Did the NCP communicate public statements on specific instances to officials responsible for foreign trade and investment incentives?	No

Paragraph 37 of the Commentary on the Implementation Procedures of the OECD Guidelines for Multinational Enterprises: "Statements and reports on the results of the proceedings made publicly available by the NCPs could be relevant to the administration of government programmes and policies. In order to foster policy coherence, NCPs are encouraged to inform these government agencies of their statements and reports when they are known by the NCP to be relevant to a specifics agency's policies and programmes [...]."

55. Did your country adopt a National Action Plan (NAP) this year? If yes, please indicate which type of NAP	No	Choose an item.
If yes, does the NAP make reference to the Guidelines?	Choose an item.	
If yes, does the NAP make reference to the NCP?	Choose an item.	
56. Was a NAP in development this year?  If yes, please indicate which NAP.	No Choose an item.	
If yes, did the NCP participate in the development of the National Action Plan?	Choose an item.	
57. Please indicate any other examples of policy coherence activities:	Peru has the policy to negotiate articles of Corporate Social Responsibility in its international investment treaties. Specifically, in the Investment Chapter of the Commercial Agreement negotiated with the countries members of the Pacific Alliance, we have included in the article of Social Responsibility Policy, a direct reference to the OECD Guidelines.	
Additional comments on Policy Coherence: Click here to enter text.		

G. IMPACT AND FUTURE WORK	
58. Does the NCP have a promotional plan for the coming year (2017)?	Yes
59. Is the NCP interested in being a <u>reviewer</u> in a peer review planned in 2017 or 2018?	No
60. Is the NCP interested in being an <u>observer</u> of a peer review planned in 2017 or 2018?	Yes
61. Please enter the relevant fluent language capabilities of your team (French, English and/or Spanish)	Spanish and english
62. Has the NCP faced any particular challenge(s) it would like to highlight this year?	During the 2018 year, the NCP is planing promote the OECD guidelines with private sector, NGOs and the civil society.
Additional comments on the section Impact and future work: Click here to enter text.	

# **Annex 1: NCP PROMOTIONAL ACTIVITIES**

Please provide information on the events organised or co-organised by the NCP (Table 1), and events in which the NCP has participated to promote the Guidelines (Table 2). Please select the event type, size and type of audience as well as the theme from the dropdown menus for each event. Please add additional lines if needed.

# Table 1

Title	Date (dd/mm/yyyy	Location	Type of event	Size of audience	Organised or co- organised?	Targeted audience e.g. Business representatives, NGOs, Trade unions, Academia, General public, Government representatives,	Theme e.g. the OECD Guidelines, the NCP activities on sector due diligence
Strenghtening the Investment Climate in Peru through the implementation of the OECD Guidelines	05/04/17	Lima- Peru	Conference	50-100	Co-organised	Representatives of French companies, representatives of the Development Bank of France - AFD, representatives of the Chamber of Commerce of France and representatives of the French Embassy	guidance documents, etc.  The OECD Guidelines and Investments
The Investment climate and the OECD Guidelines for Multinational Enterprises	26/10/17	Arequipa- Peru	Meeting	50-100	Organised	Business representatives, academia and Government representatives	The OECD Guidelines and activities of the Peru's NCP
Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.
Click here to enter	Click here	Click here to	Choose an item.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.

text.	to enter	enter text.					
	text.						
Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.
Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.

# Table 2

Presentations by the NCP to promote the Guidelines and/or the NCP in events organised by others								
Title	Date (dd/mm/yyyy)	Location	Type of event	Size of audience	Targeted audience e.g. Business representatives, NGOs, Trade unions, Academia, General public, Government representatives, etc	Organiser(s)	Type of intervention	Theme of the intervention
Forum of Corporate Social Responsibility 2017	15/12/17	Lima- Peru	Meeting	>100	representatives of state owned enterprises, public and private organizations, academia.	National Fund for the Financing of the State's Business Activity	The presentation was made on the experience and activities of the Peruvian NCP promoting the OECD Guidelines	Responsible Business Conduct and OECD Guidelines to multinational enterprises
Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.	Click here to enter text.	Click here to enter text.
Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.	Click here to enter text.	Click here to enter text.
Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.	Click here to enter text.	Click here to enter text.
Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.	Click here to enter text.	Click here to enter text.
Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.	Click here to enter text.	Click here to enter text.

Total number=

# **Annex 2: SPECIFIC INSTANCES**

#### Please provide details of the following in the table below:

- 1. Specific instances **in progress** during the year:
  - Before the initial assessment: specific received and initial assessment in development
  - After the initial assessment:specific instances received and found to merit further examination after the initial assessment, and under consideration by the NCP. Good offices were offered to the parties.
- 2. Specific instances **concluded** during the year (specific instances that the NCP found to merit further examination after the initial assessment and that have subsequently been closed). Please include any follow-up planned or executed regarding concluded specific instances. When NCPs engage in follow-up they review how the parties have implemented the agreement and recommendations.
- 3. Specific instances **not accepted** during the year (specific instances that the NCP found not to merit further examination).

Please add additional lines if needed.

We encourage all NCPs to inform the Secretariat on received specific instances and to provide a final statement as soon as it becomes available, in order to keep the OECD database updated at all times. For all specific instances that have not yet been reported to the Secretariat, please complete and send this form to Sama.Altahercucci@oecd.org and Kathryn.dovey@oecd.org<sup>5</sup>.

### For all specific instances that are currently IN PROGRESS:

#### Before the initial assessment

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Link to OECD database if Did the NCP co-operate with other NCPs during the handling of this specific instance? If yes, please Date received Names of parties available (dd/mm/yyyy) describe. Click here to enter Click here to enter Click here to enter text. Click here to enter text. text. text. Click here to enter Click here to enter Click here to enter text. Click here to enter text. text. text. Click here to enter Click here to enter Click here to enter text. Click here to enter text. text. text.

According to paragraph 42 of the Commentary on the Implementation Procedures of the OEDC Guidelines for Multinational Enterprises, "[...] NCPs will report to the Investment Committee in order to include in the Annual Report on the OECD Guidelines information on all specific instances that have been initiated by parties, including those that are in the process of an initial assessment, those for which offers of good offices have been extended and discussions are in progress, and those in which the NCP has decided not to extend an offer of good offices after an initial assessment".

After the initial assessment							
Names of parties	Date received (dd/mm/yyyy)	Is the initial assessment publicly available?	Link to OECD database if available	Did the NCP co-operate with other NCPs during the handling of this specific instance? If yes, please describe.			
Click here to enter text.	Click here to enter text.	Choose an item.	Click here to enter text.	Click here to enter text.			
Click here to enter text.	Click here to enter text.	Choose an item.	Click here to enter text.	Click here to enter text.			
Click here to enter text.	Click here to enter text.	Choose an item.	Click here to enter text.	Click here to enter text.			

**Total number=** Click here to enter text.

Title	Date received (dd/mm/yyyy)	Date concluded (dd/mm/yyyy)	Link to OECD database if available	Outcomes achieved	Follow-up by the NCP	Did the NCP co-operate with other NCPs during the handling of this specific instance? If yes, please describe.
CUT-SITENTEL-PLADES Specific Instance / "Telefónica del Perú" Group	17/11/10	17/07/17	Click here to enter text.	Choose an item.	N/A - no outcomes achieved	No
Click here to enter text.	Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Choose an item.	Click here to enter text.
Click here to enter text.	Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Choose an item.	Click here to enter text.

Total number=	1

For all specific instances that were NOT ACCEPTED during the year:

Title	Date received (dd/mm/yyyy)	Link to OECD database if available	Reasons for not accepting the specific instance	Did the NCP co-operate with other NCPs during the handling of this specific instance? If yes, please describe.	
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# **END OF QUESTIONNAIRE**