

NATIONAL CONTACT POINT REPORTING QUESTIONNAIRE (2020)

This questionnaire is designed to help National Contact Points fulfil their reporting obligations as described in the Procedural Guidance of the OECD Guidelines for Multinational Enterprises. The answers to the questions should reflect the nature and results of the activities of the NCP¹.

STRUCTURE

Exceptionally, in 2020 this questionnaire comprises two parts.

Part I is the annual reporting questionnaire for NCPs comprising 61 questions and 2 annexes under the following headings:

- A. Contact information
- B. Institutional arrangements
- C. Information and promotion
- D. Specific instances
- E. Peer learning and peer reviews
- F. Policy coherence
- G. Challenges

Annex 1: NCP PROMOTIONAL ACTIVITIES

Annex 2: SPECIFIC INSTANCES

Part II is an optional set of questions related to the OECD Guidelines stocktaking exercise.

TYPES OF QUESTIONS (relating to Part I. only)

1. Questions with predefined answers

For most questions, a pre-defined set of answers are provided in a drop-down menu (e.g. Yes-No-N/A). For each of these questions, please select the appropriate answer in the dropdown menu.

Example:

| (b) Promotional activities | |
|--|--------|
| 19. Did the NCP organise or co-organise events to promote the Guidelines and/or the NCP during the year? <i>If yes, please provide details in table 1 in Annex 1.</i> | Yes/No |

2. Open-ended questions

- a) Some questions are open-ended, and in these cases an answer box is provided. Many of these questions are meant to complement a question with predefined answers. Please keep responses precise and short.

¹ Page 74 of the Procedural Guidance of the OECD Guidelines for Multinational Enterprises: "Reports should contain information on the nature and results of the activities of the NCP, including implementation activities in specific instances".

Example:

| | |
|---|---|
| (b) Advisory body | |
| 5. Does the NCP have one or several advisory bodies? | Yes/No |
| If the NCP has several advisory bodies, please specify. | Click here to enter text. |

- b) “Additional comments” boxes are situated at the end of each section. Please feel free to enter any additional information you would like to share in these boxes.

Example:

| |
|--|
| <p><i>Additional comments on Advisory body</i> Click here to enter text</p> |
|--|

TERMS USED IN THE QUESTIONNAIRE

A number of terms are used throughout the questionnaire. Definitions are provided below. Please note that these definitions are exclusively intended to assist in clarifying the terms used in this questionnaire. These terms will be underlined the first time they are used in this questionnaire.

- **Structure of the NCP:** The organisational set up of the NCP. Four NCP structures have been pre-identified, but other arrangements are possible:
 - Single-agency: The NCP is composed of one individual in a single ministry or government agency, or by a group of individuals belonging to the same service in the same ministry or government agency.
 - Inter-agency: The NCP is composed of a group of representatives from several ministries or government agencies.
 - Expert-based: The NCP is composed of experts who are external to government.
 - Multipartite: The NCP is composed of a group of government officials and stakeholder representatives.
- **Secretariat:** Government officials or group of government officials acting as the permanent office of an NCP.
- **Advisory body:** Some NCPs have an advisory body which can be consulted by the NCP on a range of activities and issues on which it provides advice. Advisory bodies can include representatives from business, trade unions, NGOs and/or academia, along with representatives of other government ministries or agencies. They do not normally form part of the NCP and do not have decision-making power on accepting or concluding specific instances. Some advisory bodies also provide oversight to the NCP (see below).
- **Oversight:** Monitoring of the NCP’s activities performed by an advisory body, for example on whether the NCP has followed its own rules of procedures.

- **Dedicated budget:** A budget that is allocated to the NCP on a regular basis (e.g. every year) to carry out its functions and activities.
- **Reporting:** In addition to the present report to the OECD, some NCPs provide a regular account of their activities to their national government or parliament. This reporting can take several forms: ongoing through regular reporting channels, or through the presentation of a periodic report, which may be made public.
- **Stakeholder meeting:** A stakeholder meeting is a meeting organised by the NCP to exchange with stakeholders, including trade unions, NGOs and the business community that are not part of the main body or of the advisory body of the NCP.

REPORTING PERIOD

The reporting period for this questionnaire is from 1 January to 31 December 2020.

SUBMISSION

The questionnaire should be completed by using this word document and sent by email to: RBC@oecd.org copying nicolas.hachez@oecd.org and carissa.munro@oecd.org by **22 January 2021**.

Should you have any questions on how to fill this questionnaire, please contact carissa.munro@oecd.org.

I. NATIONAL CONTACT POINT ANNUAL REPORTING QUESTIONNAIRE (2020)

| A. CONTACT INFORMATION | |
|---|-------------------------------|
| <i>Please provide the contact information of the person filling in the questionnaire.</i> | |
| Name: | Nancy Bojanich |
| Job title: | Investment Specialist |
| Email: | nbojanich@proinversion.gob.pe |
| Telephone number: | (511) 2001200 ext 1330 |

| B. INSTITUTIONAL ARRANGEMENTS | |
|---|---|
| (a) Structure, location and composition of the NCP | |
| 1. What is the <u>structure of the NCP</u> ? | Single-agency |
| 2. Who are the members of the NCP? <i>Please describe the functions of the members of the NCP and the ministry/agency or stakeholder organisation they represent. No names are required.</i> | <p>The Steering Council of PROINVERSIÓN has the highest level of decision of the NCP. The Executive Director is in charge of the NCP Technical Secretariat through PROINVERSION's Investors Servicing División- DSI. The Head of the DSI, mainly supervise the activities carried out, considering the authorized budget provided for the NCP activities.</p> <p>The investment specialist is in charge of carrying out the activities in order to disseminate and promote the OECD Guidelines, working in coordination with various public and private sector agencies as well as with civil society organizations. Likewise, this specialist coordinate with several NCPs and participate in the OECD working party on Responsible Business Conduct.</p> <p>The legal and technical specialists are in charge of carrying out activities related to the attention to specific instances related to the implementation of the OECD Guidelines, also both specialists support in several activities of the NCP.</p> |
| 3. If the NCP is not a <u>single-agency NCP</u> , does it have a <u>secretariat</u> ? | Yes |
| If yes, in which ministry or agency? | The NCP is located in the Private Investment Promotion Agency, public agency attached to the Ministry of Economy and Finance of Peru- |
| If yes, in which department of the ministry or agency? | PROINVERSION |
| 4. Has the NCP been established through a legislative, regulatory or administrative instrument (e.g. a statute, a decree, a ministerial resolution)? | Yes |
| If yes, please provide the name and date of this instrument, and a link if available | PROINVERSION'S Steering Council Agreement N° 294-01-2009 dated July 1st 2009 |

| | | |
|---|--|--|
| <p>Additional comments on <i>Structure, location and composition of the NCP</i></p> <p>The organization structure of PROINVERSIÓN, through its Steering Council, helps the interaction the NCP with other government agencies in order to promote the OECD Guidelines. Ministers that form part of the Steering Council are: Minister of Economy and Finance, Minister of Energy and Mining, Minister of Transportation and Telecommunication, Minister of Housing, Construction and Sanitation, Minister of Production. Moreover, there is a permanent interaction with the Ministry of Labor, the Ministry of Foreign Affairs and other public and private agencies.</p> | | |
| <p>(b) Advisory body</p> | | |
| 5. Does the NCP have one or several <u>advisory bodies</u> ? | | No |
| If the NCP has several advisory bodies, please specify. | | Click here to enter text. |
| If yes, please describe the advisory body(ies)' functions (e.g. advising on promotion, on specific instance handling in general, on individual cases, providing <u>oversight</u> , etc.) | | Click here to enter text. |
| If yes, please list the names of organisations that are represented on the advisory body(ies) and the type of organisation. (e.g. government, business, business organisation, NGO, trade union, academia, consumer organisation, etc.) | | Click here to enter text. |
| If yes, please indicate how often the advisory body(ies) meets | | Choose an item. |
| 6. If an advisory body provides oversight, please describe the oversight procedure | | Click here to enter text. |
| <p>Additional comments on <i>Advisory body</i>: Click here to enter text.</p> | | |
| <p>(c) Human and financial resources</p> | | |
| 7. Does the NCP have dedicated full-time staff? If yes, please indicate the number. | | No Click here to enter text. |
| 8. Does the NCP have dedicated part-time staff? If yes, please indicate in the second box the number of part-time staff members and in the third box the percentage of time spent on NCP matters for each part-time staff member. | | Yes 3 The NCP is composed of 3 part time officials from PROINVERSION. The Investment specialist devotes 60% of her time to NCP activities, and the 2 part time legal and technical specialists devotes 30% of his time in each case. |

| | | |
|---|---|---|
| 9. Have any full-time or part-time staff members joined the secretariat during the year? <i>If yes, please indicate how many.</i> | Yes, part-time staff member(s) | 2 |
| 10. Have any full-time or part-time staff members left the NCP during the year? <i>If yes, please indicate how many.</i> | Yes, part-time staff member(s) | 1 |
| 11. Did the NCP have a <u>dedicated budget</u> this year? | Yes | |
| If no, were financial resources for promotional activities allocated on an ad hoc basis when requested by the NCP? | Choose an item. | |
| If no, were financial resources for specific instances allocated on an ad hoc basis when requested by the NCP? | Choose an item. | |
| 12. During the year, did the human and financial resources available to the NCP allow it to: | | |
| Handle specific instances in an efficient and timely manner | Yes | |
| Organise promotional events | Yes | |
| Attend NCP meetings at the OECD | Yes | |
| Attend events organised by other NCPs | Yes | |
| Attend events organised by stakeholders | Yes | |
| Cover professional mediator fees or in-house mediator fees | No | |
| Conduct fact-finding research into specific instances | No | |
| If you answered no to any of the above, please specify | For reasons of not having enough budget, currently the NCP, no cover professional mediator fees, and not conduct research into specific instances . | |
| <i>Additional comments on Human and financial resources:</i> Click here to enter text. | | |
| (d) Reporting | | |
| 13. Does the NCP <u>report</u> to the executive on its activities? | Yes | |
| If yes, how often? | Three times a year | |
| 14. Does the NCP report to the legislative body on its activities? | No | |
| If yes, how often? | Choose an item. | |
| 15. Please indicate any other relevant transparency requirement (e.g. access to information or disclosure laws) applicable to the NCP in your country | Click here to enter text. | |
| <i>Additional comments on Reporting:</i> Click here to enter text. | | |

| C. INFORMATION AND PROMOTION | | |
|---|-----------------------------------|------------------|
| (a) NCP website² | | |
| 16. Does the NCP have a website? If yes, please provide the link in the second box. | Yes | Click here to en |
| If yes, was the website created/renovated this year? | No | |
| 17. Are the following items available on the NCP website? | | |
| Information about the Guidelines and the role of the NCP | | |
| The text of the Guidelines | Yes | |
| A description of the Guidelines | No | |
| The OECD Due Diligence Guidance Documents | Yes | |
| Explanatory text about due diligence | No | |
| Information on the NCP and its mandate | Yes | |
| The NCP Annual Report submitted to the OECD | Yes | |
| The NCP's Report to the executive and/or legislative (if applicable) | No | |
| The NCP's peer review report (if applicable) | N/A: The NCP does not have a peer | |
| Information about specific instances | | |
| Information on how to submit a specific instance | Yes | |
| An online form to submit a specific instance | No | |
| The NCP's rules of procedure | Yes | |
| All final statements since 2011 | Yes | |
| Information on promotional activities | | |
| The NCP's promotional plan | No | |
| Information on upcoming events promoting the Guidelines | No | |
| Information on past events promoting the Guidelines | Yes | |
| Contact information | | |
| Information on how to make an enquiry to the NCP | Yes | |
| A phone number to reach the NCP directly | Yes | |
| An email address to reach the NCP directly | Yes | |
| Additional comments on NCP website: The web site of PROINVERSION provides information about OECD guidelines, NCP activities and regarding the participation of Peru in the Investment Committee, both in Spanish and English languages : https://www.investinperu.pe/modulos/JER/PlantillaStandard.aspx?are=1&prf=0&jer=8008&sec=17 | | |

² This includes dedicated NCP webpages as part of the Ministry's website.

| (b) Promotional activities³ | |
|---|-----|
| 18. Does the NCP have a promotional plan for the coming year(s)? | No |
| 19. Did the NCP organise or co-organise events to promote the Guidelines and/or the NCP during the year? <i>If yes, please provide details in table 1 in Annex 1.</i> | Yes |
| 20. Did the NCP make a presentation to promote the Guidelines and/or the NCP in events organised by others? <i>If yes, please provide details in table 2 in Annex 1.</i> | Yes |
| 21. Did the NCP make use of social media to communicate on NCP promotional activities during the year? | Yes |
| 22. Did the NCP hold a <u>stakeholder meeting</u> during the year? | No |
| 23. Did the NCP promote the Guidelines among the business community during the year? | Yes |
| 24. Did the NCP carry out any training on the Guidelines aimed at businesses during the year? | No |
| 25. Did the NCP promote the Guidelines among NGOs during the year? | No |
| 26. Did the NCP promote the Guidelines among trade unions during the year? | No |
| 27. Did the NCP promote the Guidelines among government agencies during the year? | No |
| 28. Did the NCP promote the Guidelines among embassies abroad during the year? | No |
| 29. Did the NCP promote the Guidelines to investment promotion agencies during the year? | Yes |
| 30. Did the NCP focus on any of the following during promotional activities during the year: | |
| OECD Due Diligence Guidance for Responsible Business Conduct | Yes |
| OECD Due Diligence Guidance for Responsible Supply Chains in the Garment and Footwear Sector | No |
| Due Diligence for Responsible Corporate Lending and Securities Underwriting and/or Responsible Business Conduct for Institutional Investors | No |
| OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas | No |
| OECD Due Diligence Guidance for Meaningful Stakeholder Engagement in the Extractive Sector | Yes |

³ Attendance of NCP meetings held at the OECD and other OECD events such as the Global Forum on Responsible Business Conduct are not considered to be promotional activities.

| | |
|---|----|
| OECD-FAO Guidance for Responsible Agricultural Supply Chains | No |
| <p><i>Additional comment</i> As part of Proinversion's investment guidance services, Peru's adherence to the OECD Declaration and OECD Guidelines is being informed to foreign investors willing to explore the possibility of doing business in Peru. Besides, the NCP has developed and published a brochure titled : Peru Strengthening an investment climate that promotes a sustainable, which includes topics regarding the guidelines of the OECD for multinational Enterprises. This brochure has been delivered to participants of several meetings and activities of the investment promotion Agency, and in promotional activities of the NCP.</p> <p><i>Comments on the section Promotional activities:</i> Click here to enter text.</p> | |

| D. SPECIFIC INSTANCES | |
|---|--|
| (a) NCP rules of procedure for handling specific instances | |
| 31. Does the NCP have rules of procedure describing the handling of specific instances? | Yes |
| 32. Are the rules of procedure available online? <i>If yes, please provide a link in the second box. If no, please attach a copy of the rules of procedure to this questionnaire</i> | Yes <input type="text" value="Click here to enter text."/> |
| 33. Were the NCP's rules of procedure modified this year? | No |
| <p><i>Additional comments on the section Rules of procedure:</i> Click here to enter text.</p> | |
| (b) Specific instance practicalities | |
| 34. Does the NCP confirm receipt of a specific instance submission? | Yes |
| 35. Does the NCP request feedback from the parties on the procedure following the conclusion of a specific instance? | Yes |
| 36. Has the NCP staff undergone training in dispute resolution or problem solving (e.g. mediation)? | No |
| 37. Did the NCP engage professional mediators during the year? | No |
| 38. Did the NCP staff or members conduct mediation this year? | No |
| <p><i>Additional comments on the section Specific instance practicalities:</i> Click here to enter text.</p> | |
| (c) Reporting specific instances | |

| | |
|--|-----|
| 39. Did the NCP receive new specific instance submissions during the year? <i>If yes, please also provide details in Annex 2</i> | Yes |
| 40. Did the NCP close specific instances during the year? <i>If yes, please also provide details in Annex 2</i> | No |
| 41. Of the specific instances that were already in progress at the start of the year, are there any that are still ongoing at the end of the year? <i>If yes, please also provide details in Annex 2</i> | No |
| 42. Did the NCP follow up on a case during the year? <i>If yes, please also provide details in Annex 2</i> | N/A |
| 43. Is the OECD case database accurate and up to date with regard to cases handled by the NCP? If not, please provide details (missing cases, out of date entries, broken links, etc.) in the additional comments section below. | No |
| <i>Additional comments on the section Reporting specific instances: Click here to enter text.</i> | |

| E. PEER LEARNING AND PEER REVIEWS | |
|--|---|
| 44. Did the NCP take part in the following activities with other NCPs during the year: | |
| Host a peer learning activity | No |
| Participate in peer learning activities hosted by other NCPs | No |
| Co-operate with other NCPs in handling specific instances | No |
| Provide mentoring/capacity building to another NCP | No |
| 45. Is the NCP interested in hosting an NCP learning/experience-sharing event in 2020? | No |
| 46. Which topic would the NCP consider to be a priority to cover in a peer learning event? | Workshops regarding the guidelines in due diligence in mining , extractive sectors and in other economic sectors in order to enhance the NCP knowledge with respect to these issues.. |
| 47. Is the NCP interested in participating in developing tools for use by NCPs? | Yes |
| 48. Is the NCP interested in acting as a peer reviewer in the future? | No |
| If yes, please specify the semester and the year | Click here to enter text. |

NATIONAL CONTACT POINT REPORTING QUESTIONNAIRE (2020)

Additional comments on the section *Peer-learning and peer reviews*:

Click here to enter text.

| F. POLICY COHERENCE ⁴ | |
|--|---|
| 49. Have the Guidelines been referred to in relevant national legislation/regulations/policies adopted during the year? <i>(e.g. on responsible business conduct; non-financial reporting, export credits regulation, public procurement)</i> | No |
| If yes, please provide a short summary and a link to the legislation. <i>If a link is not available, please attach a copy of the legislation to this questionnaire.</i> | Click here to enter text. |
| 50. Did your country adopt a National Action Plan (NAP) this year? <i>If yes, please indicate which type of NAP.</i> | Yes |
| If yes, please provide a link. | NAP on Business and Human Rights https://observatorioderechoshumanos.m injus.gob.pe/observatorio-de-ddhh/ |
| 51. Was a NAP in development this year? <i>If yes, please indicate which NAP.</i> | Yes |
| If yes, did the NCP participate in the development of the National Action Plan? | NAP on Business and Human Rights |
| 52. Did the NCP inform officials responsible for trade missions of its relevant statements and reports? | Yes |
| 53. Did the NCP inform officials responsible for foreign trade and investment incentives of its relevant statements and reports? | No |
| 54. Did the NCP inform officials responsible for public procurement of its relevant statements and reports? | No |
| 55. Does your national legislation or policy on public procurement refer to the Guidelines and/or OECD due diligence instruments and/or to the NCP process? | No |

⁴ Paragraph 37 of the Commentary on the Implementation Procedures of the OECD Guidelines for Multinational Enterprises: “Statements and reports on the results of the proceedings made publicly available by the NCPs could be relevant to the administration of government programmes and policies. In order to foster policy coherence, NCPs are encouraged to inform these government agencies of their statements and reports when they are known by the NCP to be relevant to a specific agency’s policies and programmes [...]”

| | |
|---|--|
| <p>If yes, please provide a link to the legislation. <i>If a link is not available, please attach a copy of the legislation to this questionnaire.</i></p> | <p>Click to enter text.</p> |
| <p>56. Have public procurement officials/practitioners consulted the NCP on the Guidelines and OECD due diligence instruments or involved the NCP in specific public procurement opportunities?</p> | <p>No</p> |
| <p>57. Were public procurement officials involved in any of your training/outreach activities?</p> | <p>No</p> |
| <p>58. What tools or activities does the NCP develop to support public procurement practitioners on RBC and OECD due diligence?</p> | <p>Click to enter text.</p> |
| <p>59. Please identify any specific initiatives/good practices involving public procurement and RBC in your country.</p> | <p>Click to enter text.</p> |
| <p>60. Please include any other examples of policy coherence activities.</p> | <p>Peru has the policy to negotiate articles of Corporate Social Responsibility in its international investment treaties. Specifically, in the Investment Chapter of the Commercial Agreement negotiated with the countries members of the Pacific Alliance, we have included in the article of Social Responsibility Policy, a direct reference to the OECD Guidelines.</p> |
| <p>Additional comments on Policy Coherence: Click here to enter text.</p> | |

| | |
|--|---|
| <p>G. CHALLENGES</p> | |
| <p>61. Has the NCP faced any particular challenge(s) it would like to highlight this year?</p> | <p>Participate in the following phases in the context of the Regional Program to promote RBC in LAC countries. And in the implementation phase of the NAP on Business and Human Rights.</p> |
| <p>Additional comments on Challenges: Click here to enter text.</p> | |

Annex 1: NCP PROMOTIONAL ACTIVITIES

Please provide information on the events organised or co-organised by the NCP (Table 1), and events in which the NCP has participated to promote the Guidelines (Table 2). Please select the event type, size and type of audience as well as the theme from the dropdown menus for each event. Please add additional lines if needed.

Table 1

| NCP-organised and co-organised events to promote the Guidelines and/or the NCP | | | | | | | |
|--|-----------------------------|---------------------------|-----------------|------------------|----------------------------|---|---|
| Title | Date <i>(dd/mm/yyyy)</i> | Location | Type of event | Size of audience | Organised or co-organised? | Targeted audience <i>e.g. Business representatives, NGOs, Trade unions, Academia, General public, Government representatives, etc.</i> | Theme <i>e.g. the OECD Guidelines, the NCP activities on sector due diligence guidance documents, etc.</i> |
| OECD Guidelines for Responsible Business Conduct and Good Practices in Due Diligence to Mining Companies | 17/12/2020 | Lima- Perú | Webinar | >100 | Organised | Government representatives, Business representatives, academia, NGOs, General public | OECD Guidelines and Due Dilligence in mining companies |
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| Total number= | 1 | | | | | | |

Table 2

| Presentations by the NCP to promote the Guidelines and/or the NCP in events organised by others | | | | | | | | |
|---|---------------------------|---------------------------|-----------------|------------------|--|---------------------------|---------------------------|---------------------------|
| Title | Date (dd/mm/yyyy) | Location | Type of event | Size of audience | Targeted audience <i>e.g. Business representatives, NGOs, Trade unions, Academia, General public, Government representatives, etc</i> | Organiser(s) | Type of intervention | Theme of the intervention |
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| | |
|----------------------|---------------------------|
| Total number= | Click here to enter text. |
|----------------------|---------------------------|

Annex 2: SPECIFIC INSTANCES

Please provide details of the following in the table below:


1. Specific instances **in progress** during the year (specific instances received and found to merit further examination after the initial assessment, and under consideration by the NCP).
2. Specific instances **concluded** during the year (specific instances that the NCP found to merit further examination after the initial assessment and that have subsequently been closed).
3. Specific instances **not accepted** during the year (specific instances that the NCP found not to merit further examination).
4. Specific instances for which **follow up** was performed during the year (the NCP monitored whether the agreement reached by the parties or its recommendations to the parties have been implemented)

Please add additional lines if needed.

We encourage all NCPs to inform the OECD Secretariat on received specific instances and to provide a final statement as soon as it becomes available, in order to keep the OECD database updated at all times. For all specific instances that have not yet been reported to the OECD Secretariat, please complete and send this [form](#) to ncp-specific-instances@oecd.org⁵.

⁵ According to paragraph 42 of the Commentary on the Implementation Procedures of the OECD Guidelines for Multinational Enterprises, “[...] NCPs will report to the Investment Committee in order to include in the Annual Report on the OECD Guidelines information on all specific instances that have been initiated by parties, including those that are in the process of an initial assessment, those for which offers of good offices have been extended and discussions are in progress, and those in which the NCP has decided not to extend an offer of good offices after an initial assessment”.

For all specific instances that are currently IN PROGRESS:

| Names of parties | Date received <i>(dd/mm/yyyy)</i> | Is the initial assessment publicly available? | Link to OECD database if available | Did the NCP co-operate with other NCPs during the handling of this specific instance? If yes, please describe. |
|--|---|--|---|---|
|  <p>197 former employees of AVIANCA PERÚ S.A. vs. AVIANCA HOLDINGS S.A. and/or AVIANCA PERÚ S.A. in liquidation</p> | 24.07.2020 | No | No | No, because AVIANCA HOLDING S.A. headquarters is located in Panama, that country does not have a NCP. |
| Click here to enter text. | Click here to enter text. | Choose an item. | Click here to enter text. | Click here to enter text. |
| Click here to enter text. | Click here to enter text. | Choose an item. | Click here to enter text. | Click here to enter text. |

Total number= 1

For all specific instances that were CONCLUDED during the year:

| Title | Date received <i>(dd/mm/yyyy)</i> | Date concluded <i>(dd/mm/yyyy)</i> | Link to OECD database if available | Outcomes achieved | Does the final statement plan for follow up? | Did the NCP co-operate with other NCPs during the handling |
|--------------|---|--|---|--------------------------|---|---|
|--------------|---|--|---|--------------------------|---|---|

| | | | | | | of this specific instance? If yes, please describe. |
|---------------------------|---------------------------|---------------------------|---------------------------|-----------------|-----------------|--|
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| For all specific instances that were NOT ACCEPTED during the year: | | | | | |
|---|---------------------------------------|---|--|---|--|
| Title | Date received (dd/mm/yyyy) | Link to OECD database if available | Reasons for not accepting the specific instance | Did the NCP co-operate with other NCPs during the handling of this specific instance? If yes, please describe. | |
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Total number= Click here to enter text.

For all specific instances for which FOLLOW UP was performed during the year:

| Title | Date concluded <i>(dd/mm/yyyy)</i> | Date of follow up <i>(dd/mm/yyyy)</i> | Was a public follow up statement issued? | Link to the follow up statement, if applicable |
|---------------------------|---------------------------------------|--|---|--|
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| Total number= | Click here to enter text. |
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II QUESTIONS RELATED TO THE OECD GUIDELINES STOCKTAKING EXERCISE

A. General questions

1. Please cite what you see as the top three achievements of the work on the OECD Guidelines for Multinational Enterprises.

Type your answer here:

- 1- *It promotes dialogue between companies and interested parties.*
- 2- *Compliance with the Guidelines allows civil society to have a better perception of business activities.*
- 3- *The Guidelines help to improve the investment climate*

2. How does your country evaluate the overall suitability of the Guidelines to meet the challenges for RBC in the future? [Scale 1-10, with 10 being highest suitability and 1 the lowest]

1 2 3 4 5 6 7 8 9 10

3. How does your country evaluate the suitability of the Guidelines for the following themes? [Scale 1-10, with 10 being highest suitability and 1 the lowest] Please add any further comments to elaborate on your answer or describe how the Guidelines could better address those themes (e.g. through clarifications, a revision, additional guidance or analytical work, etc.):

- Digitalisation

1 2 3 4 5 6 7 8 9 10

Insert additional comments here:

The digitization of information is a very useful tool to disseminate the OECD guidelines and complementary tools recommended by the OECD.

- Diversity, including gender

1 2 3 4 5 6 7 8 9 10

Insert additional comments here:

Several issues regarding diversity is been developed by the Government. Regarding gender, the gender equality policy is multisectoral and is aligned with the future image of the country by 2030, and with the 2030 Agenda for Sustainable Development of the United Nations and international commitments, and with national policies such as the National Agreement and the Strategic National Development Plan, the National Plan for Gender Equality, National Plan against Gender Violence, National Plan of Action for Children and Adolescents 2012-2021, National Plan for Citizen Security 2013-2018, National Plan against Gender Violence 2016-2021, Plan of Action on Gender and Climate Change of Peru, National Human Rights Plan 2018-2021. .Decreto Supremo N° 008 -2019-MIMP, Política de Igualdad de Género, Diario El Peruano, pág 16 al 20

- Global environmental issues (e.g. climate change, biodiversity loss; circular economy)

1 2 3 4 5 6 7 8 9 10

Insert additional comments here:
The issues of climate change, loss of biodiversity, circular economy are concepts that must be included in greater depth.

- Indigenous peoples' rights

1 2 3 4 5 6 7 8 9 10

Insert additional comments here:
Dialogue and coordination mechanisms with indigenous communities, including prior consultation, can generate opportunities to solve specific situations and contribute to the development of productive activities in an adequate and harmonious manner.

- Animal welfare

1 2 3 4 5 6 7 8 9 10

Insert additional comments here:

- Integrity, lobbying

1 2 3 4 5 6 7 8 9 10

Insert additional comments here:
Integrity is a subject that adherent governments like Peru are including with greater emphasis in the activities of public officials and national and sub-national government agencies.
Besides companies.
Likewise, companies are developing internal integrity mechanisms through codes of ethics and good business behavior.

- Corporate governance (e.g. Director and fiduciary duties, senior management oversight and accountability, remuneration)

1 2 3 4 5 6 7 8 9 10

Insert additional comments here:
Companies that comply with principles of good corporate governance in many cases also identify with compliance of the OECD guidelines.

- Scope of enterprises covered (e.g. non-traditional MNEs, SMEs, other)

1 2 3 4 5 6 7 8 9 10

Insert additional comments here:
It is important to promote the development of initiatives related to the implementation of the OECD guidelines in small and medium enterprises, given the importance of Small and Medium Enterprises in the economy, which is similar throughout the world, since they contribute between 70% and 90 % of jobs and contribute half of the world's GDP. Small and medium-sized companies are also part of the supply chain of multinational companies.

- Interpretation of the Guidelines and monitoring of NCP network (taking into account the creation of the WPRBC in 2013)
1 2 3 4 5 6 7 8 9 10

Insert additional comments here:
The activities developed by the WPRBC since 2013 contribute to a better performance of the NCPs and to a greater exchange of experiences in interpreting the Guidelines among the international network of NCPs.

- Other (please specify):
1 2 3 4 5 6 7 8 9 10

Insert additional comments here:

4. How does your country evaluate the suitability of the provisions of the Procedural Guidance regarding interpretation of the Guidelines and monitoring of the NCP network, taking into account the creation of the WPRBC in 2013? *[Scale 1-10, with 10 being highest suitability and 1 the lowest]*

1 2 3 4 5 6 7 8 9 10

Insert additional comments here:
The interpretation of the Guidelines and the monitoring of the NCP network contribute to the better handling of the specific instances by the NCPs by finding greater clarity in the procedure guidelines.

5. The 2011 update of the Guidelines introduced the “proactive agenda” which has developed and implemented due diligence guidance through multi-stakeholder processes in specific sectors or for specific RBC issues. What would you say are the main achievements of the Working Party’s multi-stakeholder work on due diligence since its inception, and what should be prioritised or included to address the key issues for RBC in the future?

Type your answer here:
The proactive agenda introduced the issue of due diligence to avoid negative impacts on the various economic sectors. The OECD General Due Diligence Guide for Responsible Business Conduct is a valuable complementary tool to the guidelines, so it is necessary that the OECD continue developing due diligence guidelines oriented to different economic sectors.

6. How does your country evaluate the suitability of the provisions on due diligence in the Guidelines, in particular in light of the various due diligence guidance adopted since 2011? *[Scale 1-10, with 10 being highest suitability and 1 the lowest]* Please add any further comments to elaborate on your answer or describe how the Guidelines could better address those themes (e.g. through clarifications, aligning commentary with core elements of due diligence from the

OECD Due Diligence Guidance for RBC, cross referencing the various guidance in the Guidelines, etc.):

1 2 3 4 5 6 7 8 9 10

Insert additional comments here:

The due diligence process allows companies to avoid negative impacts, it is important to promote good due diligence practices in companies from various economic sectors.

B. Chapter-specific questions

I. CONCEPTS AND PRINCIPLES

1. How does your country evaluate the continued relevance of the provisions in this Chapter? [Scale 1-10, with 10 being highest suitability and 1 the lowest]

1 2 3 4 5 6 7 8 9 10

2. On which specific themes or issues do you find this chapter to be particularly well-adapted to and successful in addressing the contemporary challenges faced by Adherents? Please provide examples.

Type your answer here:

The OECD recommends that companies respect the principles of the Guidelines without infringe national laws.

3. Which specific themes or contemporary challenges do you find this chapter currently fails to address or fails to address adequately? Please provide examples.

Type your answer here:

It is relevant that companies collaborate with adherent governments in the development and application of policies and regulations, and they must also take into account the points of view of other interested parties

4. Please provide examples of the most relevant specific instances that your NCP has dealt with in relation to this chapter (please provide the link to the cases in the NCP case database, and a short explanation of what these cases show regarding the continued relevance or not of this chapter, explaining any strengths, weaknesses, gaps, or complexities of the Guidelines illustrated by the case)?

Type your answer here:

NATIONAL CONTACT POINT REPORTING QUESTIONNAIRE (2020)

For Official Use

N.A.

5. Please include information and links on any national policy, regulation, guidance or other relevant document that are of relevance for the implementation of this Chapter.

Type your answer here:

II. GENERAL POLICIES

1. How does your country evaluate the continued relevance of the provisions in this Chapter? [Scale 1-10, with 10 being highest suitability and 1 the lowest]

1 2 3 4 5 6 7 8 9 10

2. On which specific themes or issues do you find this chapter to be particularly well-adapted to and successful in addressing the contemporary challenges faced by Adherents? Please provide examples.

Type your answer here:

The OECD recommends that companies respect the principles of the Guidelines without infringe national laws. looking for a balance

3. Which specific themes or contemporary challenges do you find this chapter currently fails to address or fails to address adequately? Please provide examples.

Type your answer here:

This chapter defines the objectives of the Guidelines for multinational and national companies, however it would have to incorporate the objectives of the guidelines in the case of state-owned companies

4. Please provide examples of the most relevant specific instances that your NCP has dealt with in relation to this chapter (please provide the link to the cases in the NCP case database, and a short explanation of what these cases show regarding the continued relevance or not of this chapter, explaining any strengths, weaknesses, gaps, or complexities of the Guidelines illustrated by the case)?

Type your answer here,
N.A

5. Please include information and links on any national policy, regulation, guidance or other relevant document that are of relevance for the implementation of this Chapter.

Type your answer here:

III. DISCLOSURE

1. How does your country evaluate the continued relevance of the provisions in this Chapter? [Scale 1-10, with 10 being highest suitability and 1 the lowest]

1 2 3 4 5 6 7 8 9 10

2. On which specific themes or issues do you find this chapter to be particularly well-adapted to and successful in addressing the contemporary challenges faced by Adherents? Please provide examples.

Type your answer here:

Companies listed on the Lima Stock Exchange (BVL) are required to submit their audited financial statements annually to the "Stock Market National Superintendency", which are posted on the entity's web for public access. In the same way, every year companies publish their Annual Report on their virtual pages, through which they provide information on the activities carried out in the year in different areas, corporate governance, employment relationships, and other topics.

3. Which specific themes or contemporary challenges do you find this chapter currently fails to address or fails to address adequately? Please provide examples.

Type your answer here:

Outsourcing, means, information about companies subcontracted to carry out activities inside the core business or directly related to other multinational activities.

4. Please provide examples of the most relevant specific instances that your NCP has dealt with in relation to this chapter (please provide the link to the cases in the NCP case database, and a short explanation of what these cases show regarding the continued relevance or not of this chapter, explaining any strengths, weaknesses, gaps, or complexities of the Guidelines illustrated by the case)?

Type your answer here:

Specific cases related to this chapter have not been registered.

5. Please include information and links on any national policy, regulation, guidance or other relevant document that are of relevance for the implementation of this Chapter.

Type your answer here:

Article 29° under Supreme Decree N° 0093-2002, about financial information

IV. HUMAN RIGHTS

1. How does your country evaluate the continued relevance of the provisions in this Chapter? [Scale 1-10, with 10 being highest suitability and 1 the lowest]

1 2 3 4 5 6 7 8 9 10

2. On which specific themes or issues do you find this chapter to be particularly well-adapted to and successful in addressing the contemporary challenges faced by Adherents? Please provide examples.

Type your answer here:

The implementation of "Due Diligence", as a preventive action, managing impacts of the companies on human rights, guarantees that the company respects of them in its areas of action and all processes, identifying risks and mitigating the consequences. For example, companies in the extractive sector have been working in this aspect, and it can be extended to other sectors such as industry.

3. Which specific themes or contemporary challenges do you find this chapter currently fails to address or fails to address adequately? Please provide examples.

Type your answer here:

The issue of subcontracted companies related to control compliance with human rights policies established by the multinational.

4. Please provide examples of the most relevant specific instances that your NCP has dealt with in relation to this chapter (please provide the link to the cases in the NCP case database, and a short explanation of what these cases show

regarding the continued relevance or not of this chapter, explaining any strengths, weaknesses, gaps, or complexities of the Guidelines illustrated by the case)?

Type your answer here:

Specific cases related to this chapter have not been registered.

5. Please include information and links on any national policy, regulation, guidance or other relevant document that are of relevance for the implementation of this Chapter.

Type your answer here:

NCP has been involved in the elaboration of the National Action Plan on Business and Human Rights of Peru which is prepared by the Ministry of Justice and Human Rights.

<https://observatorioderechoshumanos.minjus.gob.pe/observatorio-de-ddhh/>

Furthermore, in July 2019 the National Confederation of Private Business Institutions (CONFIEP) presented the "Guide for the Peruvian business sector on business and human rights."

<https://www.confiep.org.pe/wp-content/uploads/2019/12/Gui%CC%81a-para-el-sector-empresarial-peruano-sobre-empresas-y-derechos-humanos.pdf>

V. EMPLOYMENT AND INDUSTRIAL RELATIONS

1. How does your country evaluate the continued relevance of the provisions in this Chapter? [Scale 1-10, with 10 being highest suitability and 1 the lowest]

1 2 3 4 5 6 7 8 9 10

2. On which specific themes or issues do you find this chapter to be particularly well-adapted to and successful in addressing the contemporary challenges faced by Adherents? Please provide examples.

Type your answer here:

The implementation of equal opportunities has been a topic to be promoted in the structure of companies, as one of its pillars in the development of their activities.

3. Which specific themes or contemporary challenges do you find this chapter currently fails to address or fails to address adequately? Please provide examples.

Type your answer here:

Rights violation could happen with outsourced companies, informal activities, promotion plan for develop some sectors can generate legislative exemptions that result in a violation of rights.

4. Please provide examples of the most relevant specific instances that your NCP has dealt with in relation to this chapter (please provide the link to the cases in the NCP case database, and a short explanation of what these cases show regarding the continued relevance or not of this chapter, explaining any strengths, weaknesses, gaps, or complexities of the Guidelines illustrated by the case)?

Type your answer here:

At this time, a special case is under evaluation related to this chapter, so it is not appropriate for this time mention the scope or make descriptions.

5. Please include information and links on any national policy, regulation, guidance or other relevant document that are of relevance for the implementation of this Chapter.

Type your answer here:

In 2019, the Peruvian Ministry of Labor approved the Methodological Guide for the Objective Assessment, without Gender Discrimination, of Jobs and formulation of Categories and Functions tables, in order to promote gender equality and civil service.

VI. ENVIRONMENT

1. How does your country evaluate the continued relevance of the provisions in this Chapter? *[Scale 1-10, with 10 being highest suitability and 1 the lowest]*
- 1 2 3 4 5 6 7 8 9 10
2. On which specific themes or issues do you find this chapter to be particularly well-adapted to and successful in addressing the contemporary challenges faced by Adherents? Please provide examples.

Type your answer here:

Try to improve corporate environmental performance through its supply chain. Extractive sector companies have been implemented "Due Diligence" in their

chains, in order to measure the impact of their actions and results on the environment.

3. Which specific themes or contemporary challenges do you find this chapter currently fails to address or fails to address adequately? Please provide examples.

Type your answer here:

Green growth with a productive diversification plan

4. Please provide examples of the most relevant specific instances that your NCP has dealt with in relation to this chapter (please provide the link to the cases in the NCP case database, and a short explanation of what these cases show regarding the continued relevance or not of this chapter, explaining any strengths, weaknesses, gaps, or complexities of the Guidelines illustrated by the case)?

Type your answer here:

Specific cases related to this chapter have not been registered.

5. Please include information and links on any national policy, regulation, guidance or other relevant document that are of relevance for the implementation of this Chapter.

Type your answer here:

Guidelines for green growth of the Peruvian Ministry of the Environment

VII. COMBATTING BRIBERY, BRIBE SOLICITATION AND EXTORTION

1. How does your country evaluate the continued relevance of the provisions in this Chapter? [Scale 1-10, with 10 being highest suitability and 1 the lowest]

1 2 3 4 5 6 7 8 9 10

2. On which specific themes or issues do you find this chapter to be particularly well-adapted to and successful in addressing the contemporary challenges faced by Adherents? Please provide examples.

Type your answer here:

Development of internal control mechanisms and the implementation of a Code of Ethics as one of the fundamental pieces in the structure of companies.

3. Which specific themes or contemporary challenges do you find this chapter currently fails to address or fails to address adequately? Please provide examples.

Type your answer here:

Political contributions.

4. Please provide examples of the most relevant specific instances that your NCP has dealt with in relation to this chapter (please provide the link to the cases in the NCP case database, and a short explanation of what these cases show regarding the continued relevance or not of this chapter, explaining any strengths, weaknesses, gaps, or complexities of the Guidelines illustrated by the case)?

Type your answer here:

Specific cases related to this chapter have not been registered.

5. Please include information and links on any national policy, regulation, guidance or other relevant document that are of relevance for the implementation of this Chapter.

Type your answer here:

Law No. 30424, Regulates the Administrative Responsibility of Companies for Bribery.

VIII. CONSUMER INTERESTS

1. How does your country evaluate the continued relevance of the provisions in this Chapter? [Scale 1-10, with 10 being highest suitability and 1 the lowest]

1 2 3 4 5 6 7 8 9 10

2. On which specific themes or issues do you find this chapter to be particularly well-adapted to and successful in addressing the contemporary challenges faced by Adherents? Please provide examples.

Type your answer here:

Publication of accurate, verifiable, and clear information, geared towards consumers, in relation to the reliability of the good or service that is provided. The country has specific regulations in this regard, as well as a control entity over regulatory compliance.

3. Which specific themes or contemporary challenges do you find this chapter currently fails to address or fails to address adequately? Please provide examples.

Type your answer here:

Standardize information policies provided to consumer in all the companies that take part of the holding no matter where is located or the market it operates.

4. Please provide examples of the most relevant specific instances that your NCP has dealt with in relation to this chapter (please provide the link to the cases in the NCP case database, and a short explanation of what these cases show regarding the continued relevance or not of this chapter, explaining any strengths, weaknesses, gaps, or complexities of the Guidelines illustrated by the case)?

Type your answer here:

Specific cases related to this chapter have not been registered.

5. Please include information and links on any national policy, regulation, guidance or other relevant document that are of relevance for the implementation of this Chapter.

Type your answer here:

Consumer protection and defence code.

http://www.gacetajuridica.com.pe/boletin-nvnet/img_bol08/COdigo%20de%20proteccion%20y%20defensa%20del%20consumidor.pdf

IX. SCIENCE AND TECHNOLOGY

1. How does your country evaluate the continued relevance of the provisions in this Chapter? [Scale 1-10, with 10 being highest suitability and 1 the lowest]

1 2 3 4 5 6 7 8 9 10

2. On which specific themes or issues do you find this chapter to be particularly well-adapted to and successful in addressing the contemporary challenges faced by Adherents? Please provide examples.

Type your answer here:

In Peru, as other adherent countries, it continues to be a challenge to achieve greater dissemination of technology by companies.

On the other hand, we can point out that a specific issue is that companies improve successfully hiring and training employees specialized in R&D. Companies consider improving their results in the medium and long term and reduce problems resulting from a possible breach of local regulations. An example of this is the use of R&D to comply with environmental obligations.

3. Which specific themes or contemporary challenges do you find this chapter currently fails to address or fails to address adequately? Please provide examples.

Type your answer here:

Strategic alliances between adhering governments and multinational companies for the creation, dissemination, and transmission of knowledge about new technologies.

The contribution to innovation capacity by multinational companies towards the countries where their companies are located is another pending issue. The Peruvian state has some programs to promote R&D (Research and development) production, however, there is no presence of multinational companies interested in collaborating with these objectives.

4. Please provide examples of the most relevant specific instances that your NCP has dealt with in relation to this chapter (please provide the link to the cases in the NCP case database, and a short explanation of what these cases show regarding the continued relevance or not of this chapter, explaining any strengths, weaknesses, gaps, or complexities of the Guidelines illustrated by the case)?

Type your answer here:

Specific cases related to this chapter have not been registered.

5. Please include information and links on any national policy, regulation, guidance or other relevant document that are of relevance for the implementation of this Chapter.

Type your answer here:

Presidence Resolution No 215-2018-CONCYTEC-P

<https://www.gob.pe/institucion/concytec/informes-publicaciones/606122-manual-de-reglamento-renacyt>

<https://www.gob.pe/concytec>

X. COMPETITION

1. How does your country evaluate the continued relevance of the provisions in this Chapter? *[Scale 1-10, with 10 being highest suitability and 1 the lowest]*

1 2 3 4 5 6 7 8 9 10

2. On which specific themes or issues do you find this chapter to be particularly well-adapted to and successful in addressing the contemporary challenges faced by Adherents? Please provide examples.

Type your answer here:

The abstention from collusive conduct, in the setting of prices or in concerted offers, in the establishment of production caps that could generate an increase in demand, in the distribution of markets or customers or any other anticompetitive conduct in general.

However, it should be noted that the greatest incentive for compliance with legal provisions is the high probability of detection and the heavy fines that could be generated for the offender. This is thanks to the intervention of the Competition Agency, in charge of the Peru's National Institute for the Defense of Competition and Intellectual Property (INDECOP), a first-level administrative authority, who have carried out numerous detailed and professional investigations, on collusive conducts in which the impact on the market has been reliably determined, which has generated the imposition of millionaire penalty fee.

3. Which specific themes or contemporary challenges do you find this chapter currently fails to address or fails to address adequately? Please provide examples.

Type your answer here:

We consider that a point to be strengthened is transparency in the information that is relevant to determine the existence of cartels or collusive or anti-competitive conduct. Such information should be provided voluntarily and periodically by multinational companies only with the purpose of ensuring that their operations are not involved in anti-competitive practices.

Trainings inside companies is important, in all levels of the organization, in order to avoid bothers due to non-compliance with free competition rules.

4. Please provide examples of the most relevant specific instances that your NCP has dealt with in relation to this chapter (please provide the link to the cases in the NCP case database, and a short explanation of what these cases show regarding the continued relevance or not of this chapter, explaining any strengths, weaknesses, gaps, or complexities of the Guidelines illustrated by the case)?

Type your answer here:

Specific cases related to this chapter have not been registered.

5. Please include information and links on any national policy, regulation, guidance or other relevant document that are of relevance for the implementation of this Chapter.

Type your answer here:

The free market implies freedom of business initiative, industry and commerce (Article 59 Const.). This is in accordance with the other articles included in the economic regime: economic pluralism (article 60 Const); freedom to contract (article 62 Constitution).

Law for the Repression of Anticompetitive Behaviors, enacted in 2008 by Legislative Decree No. 1034 and modified in September 2015 by Legislative Decree No. 1205.

<https://repositorio.indecopi.gob.pe/handle/11724/6341?show=full>

XI. TAXATION

1. How does your country evaluate the continued relevance of the provisions in this Chapter? [Scale 1-10, with 10 being highest suitability and 1 the lowest]

1 2 3 4 5 6 7 8 9 10

2. On which specific themes or issues do you find this chapter to be particularly well-adapted to and successful in addressing the contemporary challenges faced by Adherents? Please provide examples.

Type your answer here:

The establishment of transfer prices is a successful topic related to this chapter, because of the regulation and its compliance there is a better and greater control of the companies' profits that belong to a holding and avoid tax evasion.

Furthermore, transparency information and tax compliance are showed in the systems, structures and risk management policies that avoid the inappropriate transfer of profits or losses of multinationals and minimize the risk of double taxation.

These objectives have been achieved with the intervention of the Peru's Tax Agency- SUNAT, who have established control mechanisms and penalties that generate disincentives in companies, because of this they take provisions to compliance policies to not generate tax incidentals.

3. Which specific themes or contemporary challenges do you find this chapter currently fails to address or fails to address adequately? Please provide examples.

Type your answer here:

Cooperation between multinational companies could facilitate the work of tax agencies respect to have transparency in the business structures.

4. Please provide examples of the most relevant specific instances that your NCP has dealt with in relation to this chapter (please provide the link to the cases in the NCP case database, and a short explanation of what these cases show regarding the continued relevance or not of this chapter, explaining any strengths, weaknesses, gaps, or complexities of the Guidelines illustrated by the case)?

Type your answer here:

Specific cases related to this chapter have not been registered.

5. Please include information and links on any national policy, regulation, guidance or other relevant document that are of relevance for the implementation of this Chapter.

Type your answer here:

<https://orientacion.sunat.gob.pe/index.php/empresas-menu/impuesto-a-la-renta-empresas/fiscalidad-internacional-empresas/precios-de-transferencia-obligaciones-formales>

<https://www.rfcapital.com.pe/nuestros-servicios/precios-de-transferencia/obligaciones-peru/>

<https://www2.deloitte.com/pe/es/pages/tax/articles/Modificacion-de-la-LIR-respecto-al-tratamiento-de-commodities.html>

PROCEDURAL GUIDANCE

1. How does your country evaluate the continued relevance of the provisions of the Procedural Guidance? *[Scale 1-10, with 10 being highest suitability and 1 the lowest]*
1 2 3 4 5 6 7 8 9 10
2. Which existing provisions of the Procedural Guidance have enabled NCPs to effectively fulfil their mandate and lead to achievements?

Type your answer here:

Promote the Guidelines through several meetings using the different tools, including Spanish information on the Internet.

Attention to Specific instances.

Making reports.

3. Which provisions do you think are missing or are inadequate in this regard?

Type your answer here:

Strengthening the role of NCPs regarding their functions and competencies to promote the guidelines and motivate responsible business conduct.

4. Please provide examples of the most relevant specific instances that your NCP has dealt with, and which illustrate the continued relevance of the Procedural Guidance, leading to achievements; or which show gaps or inadequacies in the Procedural Guidance (please provide the link to the case in the NCP case database, and a short explanation)?

Type your answer here:

Specific cases related to this chapter have not been registered.

5. Please include any national policy, regulation, guidance or other relevant document that are of relevance for the implementation of the Procedural Guidance.

Type your answer here:

DIRECTIVE 003-2015-PROINVERSION

END OF QUESTIONNAIRE